IFW

TRANSMI			Application Number		10/825,186
TRANSMITTAL FORM (to be used for all correspondence after initial filing)			Filing Date		April 16, 2004
			First Named Inventor		3
(to be used for all corresponde	ence agier iniii	ui jiiing)	Group Art Unit		Zhang et al.
			Confirmation No.		1645
Tatal Name to a CD and in This C					8260
Total Number of Pages in This S	ubmission		Attorney Docket Number		057953-1221
			RES (check all that apply)		
Fee Attached  Preliminary Amendment  After Final  Affidavits/declaration(s)  Extension of Time Request for _ months  Express Abandonment Request  Information Disclosure Statement & PTO Form 1449 w/9 references  Certified Copy of Priority Document(s)  (for an A  Submission Declarat  Licensin Petition Applicat Power of Change of Termina Request		tion and Power of Attorney ng-related Papers  to Convert to a Provisional tion of Attorney, Revocation of Correspondence Address al Disclaimer for Refund mber of CD(s)	antereb	After Allowance Communication to Group Appeal Communication to Board of Appeals and Interferences Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) Proprietary Information Status Letter Application Data Sheet Request for Corrected Filing Receipt with Enclosures A self-addressed prepaid postcard for acknowledging receipt Other Enclosure(s) (please identify below):  y authorized to charge any additional fees ents to Deposit Account No. 19-2380 for the	
			JCANT, ATTORNEY, O	R A	GENT
Firm Marc S, Kaufman, Reg			/o Michael L. Goldman ox 31051 14603-1051 1304		
Signature		/			
Date	December	1, 2004			
CERTIFICATE OF MAILING OR TRANSMISSION [37 CFR 1.8(a)]  I hereby certify that this correspondence is being:  deposited with the United States Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to: Mail Stop Missing Parts, Commissioner for Patents, P. O. Box 1450, Alexandria, VA 22313-1450					
☐ transmitted by facsimile on the date shown below to the United States Patent and Trademark Office at					

Signature\_\_ Typed or printed name

(703) 872-9306

Date

**PATENT** 

Docket No.: 57953/1221 (ZHA01-01)

## THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s)	:	Zhang et al.	) Examiner: ) To Be Assigned
Serial No.	:	10/825,186	)
Cnfrm. No.	:	8260	) Art Unit: ) 1645
Filed	:	April 16, 2004	)
For	:	A METHOD FOR INTRODUCING CONJUGATED CAPS ONTO MOLECULAR FRAGMENTS AND SYSTEMS AND METHODS FOR USING THE SAME TO DETERMINE INTER-MOLECULAR INTERACTION ENERGIES	) ) ) ) )

## INFORMATION DISCLOSURE STATEMENT UNDER 37 CFR §§ 1.97-1.98

Mail Stop: Amendment Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Dear Sir:

Pursuant to 37 CFR §§ 1.97-1.98, applicants hereby bring to the attention of the United States Patent and Trademark Office, the enclosed references listed on the attached PTO-1449 form.

Pursuant to 37 C.F.R. § 1.97(b)(3), no fee is required. If additional fees are required, however, the Commissioner is hereby authorized to charge any fees to Deposit Account No. 14-1138.

Respectfully submitted,

Date: 1/2004

Marc S. Kaufman Registration No. 35,212

NIXON PEABODY LLP, c/o Michael L. Goldman

Clinton Square, P.O. Box 31051 Rochester, New York 14603-1051

Telephone: (585) 263-1304 Facsimile: (585) 263-1600

R813070.1

PTO/SB/08A (10-01)

Approved for use through 10/31/2002. OMB 0651-0031

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE or the Paper ork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Substitute for form 1449A/FT BADE				Complete if Known		
INFO	RMATION I	NISCI	AGUER	Application Number	10/825,186	
INFORMATION DISCLOSURE STATEMENT BY APPLICANT				Filing Date	April 16, 2004	
		First Named Inventor	Zhang et al.			
(use as many sheets as necessary)			(ערע)	Art Unit	1645	
		Examiner Name	To Be Assigned			
Sheet	1	of	2	Attorney Docket Number	57953/1221 (ZHA01-01)	

			U.S. PATENT DOCUMEN	NTS	
Examiner Initials	Cite No.	U.S. Patent Document	Publication Date	Name of Patentee or	Pages, Columns, Lines, Where
		Number - Kind Code <sup>2</sup> (if known)	MM-DD-YYYY	Applicant of Cited Document	Relevant Passages or Relevant Figures Appear
		US-			
		US-		1	
		US-			•

			FO	REIGN PATENT D	OCUMENTS		
	Cite No.1	Foreign Patent Do	Kind Code <sup>5</sup>	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T <sup>6</sup>
		Country Code <sup>3</sup> Number <sup>4</sup>	(if known)				
	<del></del>						
	<del>                                     </del>						
· · · · · · · · · · · · · · · · · · ·	<u> </u>	OTH	IER PRIOR A	ART – NON PATENT L	ITERATURE DOCUMENTS	1	
Examiner Initials	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.)., date, page(s), volume-issue number(s), publisher, city and/or country where published.				T <sup>2</sup>	
·	1		teraction I		isulfide Bond for Quant cules," <i>Journal of Chem</i>		
Chen et al., "Theoretical Method for Full ab initio Calculation of DNA/RNA-Ligand Interaction Energy," Journal of Chemical Physics 120(24):11386-11391 (2004)							
	3	· ·		_	nod for ab initio Calcula ers 394:293-297 (2004)	ition of Electron	

Examiner	Date
Signature	Considered

<sup>\*</sup>EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Burden Hour Statement: This form is estimated to take 2.0 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, Washington, DC 20231.

<sup>&</sup>lt;sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> See Kinds Codes of USPTO Patent Documents at <a href="www.uspto.gov">www.uspto.gov</a> or MPEP 901.04. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.

<sup>&</sup>lt;sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> Applicant is to place a check mark here if English language Translation is attached.

PTO/SB/08B (10-01)

Approved for use through 10/31/2002. OMB 0651-0031 U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995 no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Substitute for form 1449B/PTO RADENAME			MA	Complete if Known		
INFORMATION DISCLOSURE		Application Number	10/825,186			
	STATEMENT BY APPLICANT		Filing Date	April 16, 2004		
SIAI			First Named Inventor	Zhang et al.		
(use as many sheets as necessary)		Group Art Unit	1645			
		Examiner Name	To Be Assigned			
Sheet	2	of	2	Attorney Docket Number	57953/1221 (ZHA01-01)	

	OTHER PRIOR ART – NON PATENT LITERATURE DOCUMENTS						
Examiner Initials	Cite No.1	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.)., date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>				
	4	Xiang et al., "Fully Quantum Mechanical Energy Optimization for Protein-Ligand Structure," Journal of Computational Chemistry 25(12):1431-1437 (2004)					
	5	Zhang et al., "Full ab initio Computation of Protein-Water Interaction Energies," Journal of Theoretical and Computational Chemistry 3(1):43-49 (2004)					
	6	Zhang et al., "Molecular Caps for Full Quantum Mechanical Computation of Peptide-Water Interaction Energy," <i>Journal of Computational Chemistry</i> 24(15):1846-1852 (2003)					
	7	Zhang et al., "Molecular Fractionation with Conjugate Caps for Full Quantum Mechanical Calculation of Protein-Molecule Interaction Energy," <i>Journal of Chemical Physics</i> 119(7):3599-3605 (2003)					
	8	Zhang et al., "New Advance in Computational Chemistry: Full Quantum Mechanical ab Initio Computation of Streptavidin-Biotin Interaction Energy," J. Phys. Chem. 107:12039-12041 (2003)					
	9	Zhang et al., "Quantum Mechanical Map for Protein-Ligand Binding with Application to β-Trypsin/Benzamidine Complex," <i>Journal of Chemical Physics</i> 120(3):1145-1148 (2004)					

Examiner	•	Date	
Signature		Considered	

<sup>\*</sup> EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>&</sup>lt;sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> Applicant is to place a check mark here if English language Translation is attached.